

02/06/01



J0772 U.S. PTO

09/77672 PTO



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PTO/SB/05 (08-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.33(b))

Attorney Docket No. PZ011

First Inventor Ruben et al

Title 64 Human Secreted Proteins

Express Mail Label No

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 480]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. ☒ Unexecuted Oath or Declaration [Total Pages 4]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR § 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.

ADDRESS TO:

 Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☒ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on.
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper
 - c. Request under 37 CFR 1.821(e)

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet and document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/ Form PTO/SB/08
☐ Copies of Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically attached)
15. ☐ Certified copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.,

Prior application information: Examiner Group/Art Unit.

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

22195

or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

NAME (Print/Type) Kenley K. Hoover

Registration No. (Attorney/Agent)

40,302

SIGNATURE

Date February 6, 2001

* Statement: this form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete would be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box 600 Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number _____ Unassigned
 Filing Date _____ February 6, 2001
 First Named Inventor _____ Ruben et al.
 Examiner Name _____
 Group Art Unit _____
 Attorney Docket Number _____ PZ011

Total amount of payment (S)844.00

METHOD OF PAYMENT (CHECK ONE)

1. ☒ The Commission is hereby authorized to charge indicated fees and credit any overpayment to
 Deposit Account Number **08-3425**
 Deposit Account **Human Genome Sciences, Inc.**
 Name

☒ Charge Any Additional Fee Required
 Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status

See 37 CFR 1.27

☐ Payment Enclosed
☐ Check ☐ Credit Card ☐ Money Order ☒ Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 08-3425

FEE CALCULATION

1. BASIC FILING FEE					
Large Fee Code	Entity (S)	Small Fee Code	Entity (S)	Description	Fee Paid
001	710	201	355	Utility filing fee	\$710.00
006	320	206	160	Design filing fee	
007	490	207	245	Plant filing fee	
008	710	208	355	Reissue filing fee	
014	150	214	75	Provisional filing fee	
Subtotal (1)					\$710.00

2. EXTRA CLAIM FEES

Total claims	23	- 20 "	3	Extra Fee from below	Fee Paid
Indep. claims	4	- 3 "	1		\$80.00
Multiple Dependent					\$

Large Fee Code	Entity (S)	Small Fee Code	Entity (S)	Description	Fee
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
108	80	208	40	**Resuscitate independent claims over original patent	
110	18	210	9	**Resuscitate claims in excess of 20 and over original patent	

Subtotal (2) (S)844.00

FEE CALCULATION (CONTINUED)

3. ADDITIONAL FEES		Large Entity Fee Code (S)		Small Entity Fee Code (S)		Fee Description	Fee Paid
105	130	205	65			Surcharge - late provisional filing fee or cover sheet	
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	239	130			Non-English specification	
147	2,520	247	2,520			For filing a request for <i>ex parte</i> reexamination	
112	920	112	920			Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	390	216	195			Extension for reply within second month	
117	890	217	445			Extension for reply within third month	
118	1,390	218	695			Extension for reply within fourth month	
128	1,890	228	945			Extension for reply within fifth month	
119	310	219	155			Notice of Appeal	
120	310	220	155			Filing a brief in support of an appeal	
121	270	221	135			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,240	241	620			Petition to revive - unintentional	
142	1,240	242	620			Utility issue fee (or reissue)	
143	440	243	220			Design issue fee	
144	600	244	300			Plant issue fee	
122	130	222	130			Petitions to the Commissioner	
123	50	223	50			Petitions related to provisional applications	
126	240	226	120			Submission of Information Disclosure Statement	
581	40	481	40			Recording each patent assignment per property (times number of properties)	
146	710	246	355			Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355			For each additional invention to be examined (37 CFR 1.125(b))	
179	710	279	355			Request for Continued Examination (RCE)	
169	190	169	900			Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

02/20/2001 ELORES 00000042 083425 09776724
 Sale Ref: 00000158 R#N: 083425 09776724
 01 FC:101 710.00 CH
 02 FC:103 54.00 CH
 03 FC:102 80.00 CH
 Subtotal (3) \$

Submitted By

Name (Print/Type) **Konley K. Hoover**
 Signature: *Konley K. Hoover*

Registration No.: 40,302

Complete (if applicable)

Telephone 301-309-8504
 Date February 6, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2008.

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PTO/SSB/17 (09-00)
 OMB 0851-0050
 03/776724
 02/06/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ruben et al.

Application Serial No.: Not assigned

Art Unit: Not assigned

Filed: Concurrently herewith

Examiner: Not assigned

For: 64 Human Secreted Proteins

Attorney Docket No.: PZ011

STATEMENT UNDER 37 C.F.R. 1.821(f)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby certify that the hard copy of the sequence listing being filed concurrently herewith and the enclosed computer-readable form of such sequence listing are identical.

Respectfully submitted,

Date: February 6, 2001


Kenley K. Hoover
Attorney for Applicants

Reg. No. 40,302

Human Genome Sciences, Inc.
9410 Key West Avenue
Rockville, Maryland 20850
Telephone: (301) 610-5771

KKH/ur